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PTO/SB/21 (09-04)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/511,414^{6/}

Filing Date

14 October 2004

First Named Inventor

David P. BINGAMAN et al.

Art Unit

Examiner Name

Attorney Docket Number

2380 US F

ENCLOSURES (Check all that apply)☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Reply to Missing Parts/
Incomplete Application☐Reply to Missing Parts
under 37 CFR 1.52 or 1.53☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a
Provisional Application☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

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Terminal Disclaimer

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Request for Refund

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CD, Number of CD(s) _____

☐ Landscape Table on CD

Remarks

☐

After Allowance Communication to TC

☐Appeal Communication to Board
of Appeals and Interferences☐Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☒Other Enclosure(s) (please identify
below):PCT/US03/11769 International
Search Report, 7 References and
Return Card**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Alcon Research, Ltd.

Signature

Printed name

Teresa J. Schultz

Date

17 Nov 2004

Reg. No.

40,526

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Barbara McKenzie

Date

17 November 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

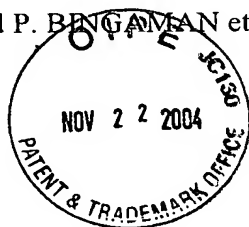
In re Application of: David P. BENIGAMIAN et al.

Serial No: 10/511,414

Filed: 14 October 2004

Examiner:

Group Art Unit:

FOR: METHODS OF TREATING VASCULAR ENDOTHELIAL GROWTH FACTOR
MEDIATED VASCULAR DISORDERS USING AMFENAC OR NEPAFENAC**INFORMATION DISCLOSURE STATEMENT PURSUANT
TO 37 C.F.R. 1.56, 1.97, AND 1.98**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. 1.56, 1.97, and 1.98, Applicants submit the patents, articles, and other information referenced in the specification as filed. The references are listed on the attached PTO Form 1449. Applicants are submitting copies of the foreign patents and non-patent literature in accordance with 37 CFR 1.98(a)(2), copies of the U.S. patents are not enclosed.

A copy of the International Search Report issued in the PCT application, of which the present application is a 35 U.S.C. §371 application, is also included for the Examiner's convenience.

Applicants request that the listed patents, articles, and other information be considered during prosecution of this application and that they appear among the "References Cited" on any patent issuing herefrom.

Respectfully submitted,

17 Nov. 2004
Date

By: Teresa J. Schultz
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Attorney Docket No.: 2380 US F



PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/511,414
Filing Date	14 October 2004
First Named Inventor	David P. BINGAMAN et al.
Art Unit	
Examiner Name	
Attorney Docket Number	2380 US F

Sheet 1 of 2

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A1	US- 2002/0037929 A1	03/28/2002	Kapin et al.	
	A2	US- 4,045,576	08/30/1977	Welstead Jr. et al.	
	A3	US- 4,126,635	11/21/1978	Welstead Jr. et al.	
	A4	US- 4,254,146	03/03/1981	Walsh	
	A5	US- 4,313,949	02/02/1982	Shanklin Jr. et al.	
	A6	US- 4,503,073	03/05/1985	Walsh et al.	
	A7	US- 4,568,695	02/04/1986	Moran et al.	
	A8	US- 4,683,242	07/28/1987	Poser	
	A9	US- 4,910,225	03/20/1990	Ogawa et al.	
	A10	US- 5,475,034	12/12/1995	Yanni et al.	
	A11	US- 6,066,671	05/23/2000	Yanni et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ "Number" ⁴ "Kind Code" ⁵ (if known)				
	A12	UK 2,071,086 A	09/16/1981	Welstead et al.		
	A13	UK 2,093,027 A	08/25/1982	Walsh et al.		
	A14	WO 01/15744 A1	03/08/2001	Brigham et al.		
	A15	WO 02/078681 A2	10/10/2002	Alcon, Inc.		

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SignatureDate
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Examiner Name	
Attorney Docket Number	2380 US F

Sheet

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of

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	B1	Bingaman DP, et al., "Topical Ocular Delivery of Nepafenac Inhibits Preretinal Neovascularization", Abstract No. 3920 Annual Meeting of the Association For Research in Vision and Ophthalmology; Fort Lauderdale, FL (May 5-10, 2002) [XP002247861]	
	B2	Kwak N, et al., "VEGF Is Major Stimulator in Model of Choroidal Neovascularization", IOVS 41(10):3158-3164 (2000)	
	B3	Penn JS et al., abstract, "Studies of the Effect and Mechanism of Action of Topical Nepafenac in a Rat Model of ROP", Abstract No. 2741 Annual Meeting of the Association For Research in Vision and Ophthalmology; Fort Lauderdale, FL (May 5-10, 2002) [XP002247860]	
	B4	Takahashi et al., "Topical Nepafenac Inhibits Ocular Neovascularization", IOVS 44(1):409-415 (2003)	
	B5	Walsh et al., "Antiinflammatory Agents. 4.1 Syntheses and Biological Evaluation of Potential Prodrugs of 2-Amino-3-benzoylbenzeneacetic Acid and 2-Amino-3-(4-chlorobenzoyl)benzeneacetic Acid", J. Med Chem, 33:2296-2304 (1990)	
	B6		
	B7		
	B8		
	B9		
	B10		

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SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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